

Appendix (10)
QCB Forms for Independent Candidates

Instructions for completing the forms: candidates for Independent Board membership must complete the following QCB forms:

1. The first form below (Appendix No. 60)
2. The second form below (Appendix No. 64) "Pledge and Acknowledgement"
3. The third form below (Appendix No. 9): Appointment of Independent Board Member- Natural Person/Legal Entity Representative Questionnaire Form

To Qatar Central Bank (“QCB”)

Bank Name: Al Rayan Bank QPSC

- 1) Name:
- 2) Nationality:
- 3) Current Position:
- 4) Current Address in Qatar:
- 5) Permanent Address:
- 6) Date and Place of Birth:
- 7) Academic Qualifications and Field of Specialization:
- 8) Other Experiences:

Questionnaire		Yes	No
9-	Have you been convicted in any country for any criminal activity involving moral turpitude? (if yes, please mention details)		
10-	Have you been a board member or manager of any company whose license has been cancelled or liquidated by a court order? (if yes, please mention details)		
11-	Have you ever declared your bankruptcy or ceased to pay your debts or have you made any settlements to reschedule your debts? (if yes, please mention details)		
12-	Are there any directions by a person or an entity interfering in your participation in the Bank's board membership? (if yes, please mention details)		
13-	Do the companies included in the answers to items (14 and 15) maintain a management relationship or partnership with the Bank? (if yes, please mention details)		

14- Name the companies in which you were directly or indirectly involved at the management level in the last past five years, mentioning the nature and period of the work relationship:

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15- Name the companies in which you or any of your direct family members (spouse, children) are currently owners of more than 5% of the shares or Board members:

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I, hereby, declare that the information provided in my answers is complete and accurate and I undertake to notify QCB as soon as I am aware of any change or amendment to this information.

Name:

Signature:

Date:

Bank's Authorized Signature

- Please provide QCB with copies of your identification documents to prove your identity

To Qatar Central Bank**Pledge and Acknowledgement**

I, the undersigned, Board candidate or Board member at Al Rayan Bank Q.P.S.C., hereby declare that:

a- I have perused the provisions of Article 129 of Qatar Central Bank Law and Regulation of Financial Institutions No. 13 of 2012 and which stipulates as follows:

“Members of the Board of Directors and Managing Directors shall be personally and jointly liable for any loss or damages incurred by the financial institution or by others as a result of their intentional acts or negligence or default in performing their duties, or by concealing or providing false or misleading Information either to shareholders or to the QCB. The financial institution shall be jointly liable with them regarding such loss and damages. The QCB shall have the right to prosecute, on behalf of the shareholders of the financial institution, whoever causes such losses and damages.”

And Article 130 of the same law stipulating:

“The Board of Directors, Managing Directors and auditors of the financial institution must immediately notify the QCB whenever there is a matter which may endanger or affect the reputation of the financial institution or its financial status, or whenever a violation of the Law or the QCB’s instructions is committed.”

And Article 146 of the same law stipulating:

“Banks Chairmen, Board members, managers, consultants, inspectors, clients, correspondents, experts, and all employees thereof may not disclose any information, data, or documents related to their clients or their accounts, deposits, trusts, asset, treasuries, or any transactions or affairs related thereto except in the cases approved by the Law and according to the terms and conditions stipulated by the QCB.

The prohibition above mentioned shall apply to all individuals and authorities and shall remain in effect even after the termination of the relation between the client and the QCB or between any of the people above mentioned and the QCB for any reasons.”

b- I hereby undertake to observe the Decree-of Qatar Central Bank Law and Regulation of Financial Institutions No. 13 of 2012 and the executive instructions issued by Qatar Central Bank.

c- I hereby undertake to comply with the credit facility limits permissible for a Board member and to ensure the facilities are fully covered by collaterals in accordance with QCB regulations

Without prejudice to the financial penalties imposed by QCB under the present law and its executive by-laws, regulations and resolution or to any severest punishment stipulated in another law, the punishments provided for in this chapter shall be applied to the crimes mentioned herein.

Name:

Signature:

Date:

Appendix No. (9)
Appointment of Independent Board Member- Natural Person/ Legal Entity Representative
Questionnaire Form

To Qatar Central Bank ("QCB")

Bank Name: Al Rayan Bank QPSC

- 1) Name:
- 2) Nationality:
- 3) Current Position:
- 4) Current Address in Qatar:
- 5) Permanent Address:
- 6) Date and Place of Birth:
- 7) Academic Qualifications and Field of Specialization:
- 8) Other Experiences:
- 9) Current participation in the bank's shares (direct ownership):
- 10) Current participation in the bank's shares (indirect ownership):
.....

Questionnaire		Yes	No
11	Have you been convicted in any country for any criminal activity? (if yes, please mention details)		
12	Have you been a major shareholder of any company whose license had been cancelled or liquidated by a court order? (if yes, please mention details)		
13	Have you ever declared your bankruptcy or ceased to pay your debts or have you made any settlements to reschedule your debts? (if yes, please mention details)		
14	Were you nominated for BOD membership of performing your duties in BOD membership (when selected) as per instructions or orders of any person or entity? (if yes, please mention details)		
15	Are you a member of a group or association of natural or legal persons that jointly control the bank? (if yes, please mention details)		
16	Do or have you occupied an executive role at the bank or any affiliate institutions during the last three years? (if yes, please mention details)		
17	Do you receive a salary or money from the bank, except for the compensation received for BOD membership and/or dividends received as a shareholder or the interests and profits received or due on your deposits or investments from regular activities at the bank? (if yes, please mention details)		
18	Do you or any of your first-degree relatives have any contractual or commercial relationship, direct or indirect interest with the bank that affects your independence? (if yes, please mention details)		
19	Do you work or participate in an institution that provides advisory, professional or any other services to the bank, whether in your personal capacity or through a first-degree relative? (if yes, please mention details)		
20	Is any of other BOD members or executives at the bank a first-degree relative of yours? (if yes, please mention details)		
21	Do you work for the bank's third party auditor, and do you have a direct or indirect interest with such auditor or any of its affiliate institutions? (if yes, please mention details)		
22	Do the companies provided in answers to questions (23, 24 and 25) have a business relation with the bank you are nominated for its BOD membership? (if yes, please mention details)		

23- Mention names of the companies you are currently a member of their Board

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24- Mention names of the companies where you and your close family members (spouse and children) have more than 5% of votes

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25- Mention names of the companies where you have direct or indirect relationship with their management during last five years, and mention the nature and period of such relationship:

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I hereby admit that all the information mentioned above is complete and correct. I promise to notify QCB immediately of any changes that may occur in the above information.

Name:

Signature:

Date:

Bank's Authorized Signature

Required Attachments:

1. CV of the BOD member/representative, supporting documentations and a copy of personal ID