Appendix (9)
QCB Forms for Non-Independent Candidates

Instructions for completing the forms:

1. The first form below (Appendix No. 60) must be completed and signed by the candidate for a non-independent member seat, whether a natural person/individual or a representative of a company/legal entity
2. The second form below (Appendix No. 61) must be completed and signed only if the candidate for a non-independent member seat is a company/legal entity.
3. The third form below (Appendix No. 64) “Undertaking and Declaration” must be completed and signed by the candidate who is a natural person/individual or a representative of a company/legal entity

Appendix No. (60)

Personal Questionnaire Form for Individual Board Nominee or Major Shareholder

To Qatar Central Bank ("QCB")

Bank Name: Al Rayan Bank QPSC

1) Name:………………………………………………………………………………………………
2) Nationality: ……………………………………………………………………………………………
3) Current Position: ……………………………………………………………………………………..
4) Current Adress in Qatar: …………………………………………………………………………………
5) Permanent Adress: ……………………………………………………………………………………
6) Date and Place of Birth: ………………………………………………………………………………
7) Academic Qualifications and Field of Specialization: ………………………………………………
8) Other Experiences: ……………………………………………………………………………………

Questionnaire		Yes	No
9-	Have you been convicted in any country for any criminal activity involving moral turpitude? (if yes, please mention details)		
10-	Have you been a board member or manager of any company whose license has been cancelled or liquidated by a court order? (if yes, please mention details)		
11-	Have you ever declared your bankruptcy or ceased to pay your debts or have you made any settlements to reschedule your debts? (if yes, please mention details)		
12-	Are there any directions by a person or an entity interfering in your participation in the Bank's board membership? (if yes, please mention details)		
13-	Do the companies included in the answers to items (14 and 15) maintain a management relationship or partnership with the Bank? (if yes, please mention details)		

14- Name the companies in which you were directly or indirectly involved at the management level in the last past five years, mentioning the nature and period of the work relationship:

………

………

15- Name the companies in which you or any of your direct family members (spouse, children) are currently owners of more than 5% of the shares or Board members:

………

………

I, hereby, declare that the information provided in my answers is complete and accurate and I undertake to notify QCB as soon as I am aware of any change or amendment to this information.

Name: ………………………………….

Signature: …………………………….

Date: …………………………………..

Bank's Authorized Signature

- Please provide QCB with copies of your identification documents to prove your identity

بنك الريان
ALRAYAN BANK

Appendix No. (61)

Questionnaire Form for Corporate Board Nominee or Major Shareholder

To Qatar Central Bank (“QCB”)

Bank Name: Al Rayan Bank QPSC

1- Company Name: ………

2- Country of Incorporation: ……

3- Incorporation date: ………

4- Commercial registration number: ……………………………………………………………………………………………

Questionnaire	Yes	No
(5) Does the company practice financial services activity?		
(6) Has the company ever been a Board member in any company whose license was revoked or liquidated?		
(7) Has the company ever stopped paying its debts or entered into a settlement with creditors to reschedule its debts?		
(8) Has the company ever been convicted of practicing an activity without a license?		

9- Mention the names of the companies that the company has had a direct or indirect relationship with its management, stating the nature of the relationship and the period:

………

………

10- Mention the names of the company’s board members and executive directors:

………

………

11- Mention the names and shares of the main founders in the company:

………

………

I certify that the information provided in my answers to the questions is complete and correct and I undertake to notify Qatar Central Bank immediately upon learning of any changes.

Name of Authorized Signatory: ……………………………………………………

Signature:

Date:

*If the answer is yes in the Questionnaire, provide the details

* Enclose the company’s organizational chart

*Enclose the company’s audited financial statements for the last three years

Appendix No. (64)

To Qatar Central Bank

Pledge and Acknowledgement

I, the undersigned, Board candidate or Board member at Al Rayan Bank Q.P.S.C., hereby declare that:

a- I have perused the provisions of Article 129 of Qatar Central Bank Law and Regulation of Financial Institutions No. 13 of 2012 and which stipulates as follows:

"Members of the Board of Directors and Managing Directors shall be personally and jointly liable for any loss or damages incurred by the financial institution or by others as a result of their intentional acts or negligence or default in performing their duties, or by concealing or providing false or misleading Information either to shareholders or to the QCB. The financial institution shall be jointly liable with them regarding such loss and damages. The QCB shall have the right to prosecute, on behalf of the shareholders of the financial institution, whoever causes such losses and damages."

And Article 130 of the same law stipulating:

"The Board of Directors, Managing Directors and auditors of the financial institution must immediately notify the QCB whenever there is a matter which may endanger or affect the reputation of the financial institution or its financial status, or whenever a violation of the Law or the QCB's instructions is committed."

And Article 146 of the same law stipulating:

"Banks Chairmen, Board members, managers, consultants, inspectors, clients, correspondents, experts, and all employees thereof may not disclose any information, data, or documents related to their clients or their accounts, deposits, trusts, asset, treasuries, or any transactions or affairs related thereto except in the cases approved by the Law and according to the terms and conditions stipulated by the QCB.

The prohibition above mentioned shall apply to all individuals and authorities and shall remain in effect even after the termination of the relation between the client and the QCB or between any of the people above mentioned and the QCB for any reasons."

b- I hereby undertake to observe the Decree-of Qatar Central Bank Law and Regulation of Financial Institutions No. 13 of 2012 and the executive instructions issued by Qatar Central Bank.

c- I hereby undertake to comply with the credit facility limits permissible for a Board member and to ensure the facilities are fully covered by collaterals in accordance with QCB regulations

Without prejudice to the financial penalties imposed by QCB under the present law and its executive by-laws, regulations and resolution or to any severest punishment stipulated in another law, the punishments provided for in this chapter shall be applied to the crimes mentioned herein.

Name:

Signature:

Date: